

FORM - 3A

(Read with Regulation 10)

Name of the Insurer:SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on : 30-09-2014

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

Rs. Crore

Section I

Total Application as per Balance Sheet (A)

2186.75

Add (B)

Provisions

Sch-14 9.88

Current Liabilities

Sch-13 159.35

169.23

Less (C)

Debit Balance in P& L A/c

0.00

Deferred tax asset

0.00

Loans

Sch-09 1.25

Adv & Other Assets

Sch-12 81.56

Cash & Bank Balances

Sch-11 104.78

Fixed Assets

Sch-10 33.20

Misc Exp. Not Written Off

Sch-15 0.00

220.79

Funds available for Investments

2135.19

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

2135.19

Balance Sheet Value of:

A. Life Fund

913.43

B. Pension & Gen Annuity Fund

159.15

C. Unit Linked Funds

1062.61

2135.19

Section II  
NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
			Balance	FRSM*	UL-Non	PAR	NON PAR					
			(a)	(b)	(c)	(d)	(e)					
1	Central Govt.Sec	Not Less than 25%	0.00	164.52	0.00	120.61	13.31	298.44	36.86%	0.00	298.44	298.44
2	Central Govt.Sec,State Govt Sec or	Not Less than 50%	0.00	174.28	0.00	176.02	66.47	416.77	51.47%	0.00	416.77	416.77
3	Investment subject to Exposure											
	a Housing & Infrastructure											
	1. Approved Investments	Not Less than 15%	2.01	49.37	0.00	127.35	19.05	195.78	24.18%	0.00	197.79	197.79
	2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00	0.00
	b 1. Approved Investments	Not exceeding 35%	100.86	73.66	0.00	71.78	49.88	195.33	24.12%	0.00	296.19	296.19
	2. Other Investments		0.83	1.86	0.00	0.00	0.00	1.86	0.23%	0.00	2.68	2.68
	<b>TOTAL LIFE FUND</b>	<b>100%</b>	<b>103.70</b>	<b>299.17</b>	<b>0.00</b>	<b>375.16</b>	<b>135.41</b>	<b>809.73</b>	<b>100.00%</b>	<b>0.00</b>	<b>913.43</b>	<b>913.43</b>

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR					
			(a)	(b)	(c) =(a+b)	(d)	(e)	(f)=(c+e)	(g)
1	Central Govt.Sec	Not Less than 20%	0.00	51.80	51.80	32.55%	0.00	51.80	51.80
2	Central Govt.Sec,State Govt Sec or	Not Less than 40%	0.00	64.90	64.90	40.78%	0.00	64.90	64.90
3	Balance in Approved Investments	Not Exceeding 60%	0.00	94.25	94.25	59.22%	0.00	94.25	94.25
	<b>TOTAL PENSION, GENERAL ANNUITY FUND</b>	<b>100%</b>	<b>0.00</b>	<b>159.15</b>	<b>159.15</b>	<b>100.00%</b>	<b>0.00</b>	<b>159.15</b>	<b>159.15</b>

LINKIED BUSINESS

C. LINKIED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)	(c) =(a+b)	(d)
1	Approved Investments	Not exceeding 75%	3.05	1051.41	1054.46	99.23%
2	Other Investments	Not exceeding 25%	0.00	8.14	8.14	0.77%
	<b>TOTAL LINKED INSURANCE FUND</b>	<b>100%</b>	<b>3.05</b>	<b>1059.55</b>	<b>1062.61</b>	<b>100.00%</b>

CERTIFICATION

Certified that the information given herein are correct ,complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 25/10/2014

Signature: \_\_\_\_\_

Full name: MR . G.VAIDYANATHAN

Chief of Finance

- Note:
- 1 (+) FRSM refers to 'Funds representing Solvency Margin'
  - 2 Funds beyond Solvency Margin shall have a separate Custody Account.
  - 3 Other Investments' are as permitted under Section 27A(2) of Insurance Act, 1938
  - 4 Pattern of Investment is applicable to both Shareholders funds respresting solvency margin and Policyholders funds.
  - 5 Exposure Norms, shall not apply to Funds held beyond Solvency Margin, held in a separate Custody Account